A Novel Method for the <u>In Situ</u> Generation of Alkoxydialkylboranes and Their Use in the Selective Preparation of 1,3-Syn Diols

Kau-Ming CHEN, Karl G. GUNDERSON, Goetz E. HARDTMANN, Kapa PRASAD,*
Oljan REPIC, and Michael J. SHAPIRO

Sandoz Research Institute, East Hanover, New Jersey 07936, U.S.A.

An <u>in situ</u> method for generating Et_2BOCH_3 from triethylborane and methanol without using any other catalysts is described. Using the Et_2BOCH_3 thus generated as a chelating agent, <u>syn</u> 1,3-diols are prepared in \geq 98% stereochemical purity by reducing β -hydroxy-ketones with sodium borohydride.

We have recently reported 1) a general and efficient method for the synthesis of $\underline{\text{syn}}$ 1,3-diols by NaBH4 reduction of β -hydroxyketones utilizing alkoxydialkylboranes as chelating agents, with the $\underline{\text{syn}}$ diastereoselection exceeding 98%. These results prompted us to search for methods of $\underline{\text{in}}$ $\underline{\text{situ}}$ generation of alkoxydialkylboranes from the commercially available trialkylboranes. Dialkylborylation of alcohols was hitherto achieved in the literature by treating alcohols with the corresponding trialkylboranes in presence of activating reagents like pivalic acid, 2) air 3) etc.

$$R_3B + R'OH \xrightarrow{Activators} R_2BOR'$$

During our work, we found that the desired alkoxyboranes could be generated by mixing Et_3B with CH_3OH in THF in the absence of any further activators. \(^4\) We established the optimum ratio of Et_3B and CH_3OH that is needed for an efficient conversion, by studying the $^{11}\text{B-NMR}$ spectra $^5)$ (Table 1) of the reaction mix-tures. From these studies (entries 2-5) it is clear that the desired Et_2BOCH_3 could be generated in situ conveniently and quantitatively just by modulating the relative ratios of Et_3B and CH_3OH : the higher the ratio of $\text{CH}_3\text{OH}/\text{Et}_3\text{B}$ the faster the rate of formation of Et_2BOCH_3 . The $^{11}\text{B-NMR}$ spectrum of the sample obtained by mixing Et_3B (0.25 mmol) with CH_3OH (0.5 mL) in THF (2 mL) at -78 °C (entry 5) is essentially identical to the one obtained with authentic Et_2BOCH_3 (entry 6).

The probable mechanism for the formation of Et_2BOCH_3 from Et_3B and CH_3OH is shown in Scheme 1. The first step in this process is the fast addition of CH_3OH to Et_3B generating an ate complex (^{11}B -NMR & 11.63 ppm) which in principle could protonolyze either in an inter- or intramolecular fashion (route a) forming Et_2BOCH_3 (^{11}B -NMR & 35.2 ppm) and ethane. Alternatively, the ate complex, in presence of excess CH_3OH , could equilibrate to borate and methoxonium ions which in turn could generate the Et_2BOCH_3 via protonolysis (route b). The latter route

may be more likely, as the rate of the formation of ${\rm Et_2BOCH_3}$ is proportional to methanol concentration (cf. entries 2-5). At higher ${\rm CH_3OH}$ concentrations (entries 4&5), as the $^{11}{\rm B-NMR}$ signal of the ate complex (11.6-11.9 ppm) diminishes due to its conversion to ${\rm Et_2BOCH_3}$ (35.2 ppm), the weaker signal of ${\rm EtB(OCH_3)_2}$ (12.8 ppm) becomes visible.

	**************************************		Chemical	shifts ^{a)} of the	boron signal due to ate complex
Entry No.	Borane	Solvent	Et 3B	Et ₂ BOCH ₃ (Rel. int.)	and/or EtB(OCH ₃) ₂ (Rel. int.)
1	BEt 3b)	THF	60.06	-	_
2	BEt ₃ c) (2 mmol)	THF:CH3OH	-	35.27 (20%)	11.63 (80%)
3	BEt ₃ c) (1 mmol)	2 mL 0.5 mL	-	35.23 (29%)	11.90 (71%)
4	BEt ₃ c) (0.5 mmol)	n	_	35.23 (57%)	12.81&11.89(43%)
5	BEt ₃ c) (0.25 mmol)	n	-	35.23 (93%)	12.89 (7%)
6	Et ₂ BOCH ₃ d)	THF	-	35.18 (97%)	12.77 (3%)
7	EtB(OCH ₂) ₂ e)	THE	_	-	12.96

Table 1. 11B-NMR Study of the Interactions of Et3B with CH3OH

a) Chemical shifts are reported in δ ppm with reference to B(OCH $_3$) $_3$ as an external standard, and the NMR spectra are measured using a 10-mm probe. b) Sample obtained from Aldrich. c) The reagents were mixed at -78 °C, and after 30 min at this temperature the NMR spectra were recorded at 22 °C. d) Authentic sample made by following Köster's procedure. e) Sample kindly supplied by Prof. Köster.

$$\begin{array}{c} CH_{3} \\ H_{3}C \\ \end{array} \begin{array}{c} CH_{3} \\ H_{3}C \\ \end{array} \begin{array}{c} CH_{3} \\ H_{3}C \\ \end{array} \begin{array}{c} CH_{3}OH \\ H_{3}C \\$$

Scheme 1.

The above method of <u>in situ</u> generation of alkoxydialkylboranes was found to be generally applicable to substrates such as triethyl- and tributylboranes in combination with simple alcohols like methanol, ethanol, allyl alcohol etc. The details of these results will be published in due course of time.

In order to check the applicability of this method to the diastereoselective reduction of β -hydroxyketones with NaBH4, we compared the results obtained with

the <u>in situ</u> reagent with those obtained with authentic¹⁾ Et₂BOCH₃. In all cases thus far examined, the <u>syn</u> diastereoselectivity⁶⁾ was found to be \geq 98% (Table 2). The details of the reaction conditions for the <u>in situ</u> method are described in the general procedure below.

Table 2. Diastereoselective Reductions of β -hydroxyketones with NaBH4 in the Presence of Et_2BOCH3 (in situ Method)

Example	Reactant	Product	syn/ %	Yield /%
1.	OH O H ₉ C ₄ C ₄ H ₉	OH OH H ₉ C ₄ C ₄ H ₉	>99	95
2.	OH O CO ₂ CH ₂ CH ₃	OH OH CO ₂ CH ₂ CH ₃	>99	80
3.	OH O CO₂CH₃	OH OH CO₂CH₃	>99	90
4.	F N H ₃ C CH ₃ OH O CO ₂ CH ₃	F N E E E CO ₂ CH ₃	>98	70
5.	OH O CO ₂ CH ₂ CH ₃	ØH OH OH CO₂CH₂CH₃	>99	75
6. F	OH O O CH3	OH OH O H ₃ C OH ₃	>99	70

With the intention of monitoring the transformations that take place around boron nucleus during the reduction process (Scheme 2), the $^{11}\text{B-NMR}$ spectra of the reduction mixture with example 4 were measured at different intervals. The signal at δ 35 ppm essentially remained unchanged during the whole course of the reduction presumably due to the fact that solvation, present from the beginning of the experiment, has a similar effect on the $^{11}\text{B-NMR}$ shift as does chelation. Therefore, we could not further prove the presence of the six-membered chelate intermediate, 1 , 3) the model for explaining the $\underline{\text{syn}}$ diastereoselectivity.

The high degree of diastereoselectivity observed in the reduction of β -hydroxyketones with sodium borohydride by using alkoxydialkyl boranes as chelating agents and the fact that these reagents in turn can be quantitatively generated in situ from the commercially available trialkylboranes, make the above method for the preparation of syn 1,3-diols unique. These results complement well the recently reported anti-selective reductions. 7)

A solution of Et $_3$ B (1.1 mL of 1 M solution in THF) was added to a mixture of dry THF (8 mL) and methanol (2 mL) at room temperature under argon. After stirring for one hour, the mixture was cooled to -70 °C followed by the addition of a β -hydroxyketone (1 mmol), and the stirring was continued for 30 min. Then sodium borohydride (1.1 mmol) was added, and the mixture was stirred for 3-5 h, depending on the substrate used. The reaction mixture was diluted with ethyl acetate, quenched with aqueous ammonium chloride solution, and the organic phase was dried

Scheme 2.

using ethyl acetate/hexane as eluent to give 1,3-diols.

We thank Professors R. K. Boeckman and A. Vasella for useful discussions and Professor R. Köster for a sample of dimethyoxyethylborane.

and evaporated to dryness. The residue was azeotroped a few times with methanol until boron-containing compounds were removed, then chromatographed on silica gel

References

- 1) K.M. Chen, G.E. Hardtmann, K. Prasad, O. Repic, and M.J. Shapiro, Tetrahedron Lett., 28, 155 (1987).
- 2) R. Köster, W. Fenzl, and G. Seidel, Liebigs Ann. Chem., 1975, 352.
- 3) K. Narasaka and F.-C. Pai, Tetrahedron, 40, 2233 (1984).
- 4) The possibility that traces of air or peroxide impurities could be responsible for the results was ruled out by carrying out experiments in degassed solvents as well as in the presence of Galvinoxyl, 8) a radical inhibitor. The results were always consistent and reproducible.
- 5) Trialkyl, dialkyl monooxygenated and monoalkyl dioxygenated boranes can be readily differentiated by ¹¹B-NMR as the boron chemical shifts of these compounds are widely separated and very characteristic.
- 6) The syn/anti ratios were calculated on the basis of the relative intensity of the two sets of $^{13}C-NMR$ signals of the two stereogenic carbons. $^{1)}$
- 7) D.A. Evans and K.T. Chapman, Tetrahedron Lett., 27, 5939 (1986).
- 8) G.W. Kabalka, H.C. Brown, A. Suzuki, S. Honma, A. Arase, and M. Itoh, J. Am. Chem. Soc., 92, 710 (1970).

(Received July 6, 1987)